



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

THRESHOLD CONTRIBUTOR SUBMISSION LIST SUBMITTED BY DEAN FOR AMERICA

Dean for America submitted the following list of contributors on June 17, 2003, as part of the Threshold Submission, which also contains copies of contributor checks and other supporting documentation.

On September 6, 1979, the Federal Election Commission determined that the portion of Matching Fund Submissions containing the listing of contributors could be placed on the public record as they were filed. It was further determined that Privacy Act considerations would prohibit placing the entire submission (i.e., copies of contributors' checks) on the public record.

As of this date, these documents have not been reviewed by the Commission for their compliance with 26 U. S. C. §9033 and 11CFR §9036.1.



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

ACKNOWLEDGEMENT OF RECEIPT
REQUEST FOR
PRESIDENTIAL PRIMARY MATCHING FUNDS

DATE RECEIVED: 06/17/03 REQUEST TYPE & NO.: Threshold

COMMITTEE NAME: Dean for America

COMMITTEE REPRESENTATIVE: Allison Sharpe

NO. CONTRIBUTORS: 1098 AMOUNT REQUESTED: \$198,674.85

COVERAGE DATES OF DEPOSITS
NOT PREVIOUSLY SUBMITTED: 1/1/03-5/19/03

SUBMITTED DOCUMENTATION

<input checked="" type="checkbox"/> CD ROM	<input checked="" type="checkbox"/> BANK DOCUMENTATION
<input checked="" type="checkbox"/> WRITTEN INSTRUMENTS	<input checked="" type="checkbox"/> MAGNETIC MEDIA
<input type="checkbox"/> PHOTOCOPY	<input checked="" type="checkbox"/> NSF LIST WITH DEBIT MEMOS
<input checked="" type="checkbox"/> BATCH ORDER	<input checked="" type="checkbox"/> BANK STATEMENTS
<input checked="" type="checkbox"/> ALL	<input type="checkbox"/> JOINT FUNDRAISING DOCUMENTATION
<input type="checkbox"/> SELECTED	<input checked="" type="checkbox"/> TREASURER'S CERTIFICATION
<input type="checkbox"/> ALPHABETICAL	<input type="checkbox"/> NOCO STATEMENT (after date of ineligibility)
<input type="checkbox"/> ENTERTAINMENT EVENT DOCUMENTATION	<input checked="" type="checkbox"/> CONTRIBUTIONS REFUND LIST WITH DOCUMENTATION

Absent any communication to the contrary, this Matching Fund Request was determined to be in conformance with the FEC Guideline for Presentation in Good Order with respect to format and submitted accompanying documentation identified above. In the case of regular matching fund requests received prior to December, 1999, and post date of ineligibility, where the initial review estimates that less than 85% of the submitted contributions are matchable, the matching fund submission, either in its entirety or in part, will be returned for corrective action as provided by the Commission's regulations at 11 CFR §9036.2 (c).

Received by: *Raymond J. Wei*
for the
Federal Election Commission



RECEIVED
FEDERAL ELECTION
COMMISSION
AUDIT DIVISION

2003 JUN 17 P 4: 13

Mail:
P.O. Box 1228
Burlington, VT 05402
Location:
95 St. Paul Street
Burlington, Vermont

Main Tel: 802-846-6600
Main Fax: 802-846-6606
Finance Tel: 802-846-6611
Finance Fax: 802-846-6619

www.deanforamerica.com

June 7, 2003

The Honorable Ellen Weintraub
Chairman
Federal Election Commission
999 E Street, N.W.
Washington, DC 20463

Dear Ms. Chairperson:

As a candidate seeking to become eligible to receive Presidential primary matching funds, I certify and agree to the following provisions, as prescribed by 11 C.F.R. § 9033.1 and 9033.2:

- I. In accordance with 11 C.F.R. § 9033.2(b)(1) and (3), I certify that I am seeking the nomination of the Democratic Party for election to the Office of President in more than one State. I and/or my authorized committee(s) have received matchable contributions which in the aggregate exceed \$5,000 from residents of each of at least twenty States which with respect to any one person do not exceed \$250.
- II. Pursuant to 11 C.F.R. § 9033.2(b)(2), I and/or my authorized committee(s) certify that we have not incurred and will not incur qualified campaign expenditures in connection with my campaign for nomination in excess of the expenditure limitations prescribed by 26 U.S.C. § 9035 and 11 C.F.R. Part 9035.
- III. In accordance with 11 C.F.R. § 9033.1(b)(1), I acknowledge that I have the burden of proving that disbursements made by me, or any of my authorized committee(s) or agents are qualified campaign expenses as defined at 11 C.F.R. § 9032.9.
- IV. Pursuant to 11 C.F.R. § 9033.1(b)(2), I and my authorized committee(s) will comply with the documentation requirements set forth in 11 C.F.R. § 9033.11.

Paid for by Dean for America.
Contributions to Dean for America are not tax-deductible for federal income tax purposes.

- V. Upon the request of the Commission, I and my authorized committee(s) will supply an explanation of the connection between any disbursement made by me or my authorized committee(s) and the campaign, if requested by the Commission, as prescribed by 11 C.F.R. § 9033.1(b)(3).
- VI. In accordance with 11 C.F.R. § 9033.1(b)(4), I and my authorized committee(s) agree to keep and furnish to the Commission all documentation for matching fund submissions, any books, records (including bank records for all accounts), and supporting documentation and other information that the Commission may request.
- VII. As provided at 11 C.F.R. § 9033.1(b)(5), I and my authorized committee(s) agree to keep and furnish to the Commission all documentation relating to disbursements and receipts including any books, records (including bank records for all accounts), all documentation required to be maintained by this section (including those required to be maintained under 11 C.F.R. 9033.11), and other information that the Commission may request. If I or my authorized committee(s) maintains or uses computerized information containing any of the categories of data listed in 11 C.F.R. § 9033.12(a), the committee(s) will provide computerized magnetic media, such as magnetic tapes or magnetic diskettes, containing the computerized information at the times specified in 11 C.F.R. § 9033.1(b)(1) that meet the requirements of 11 C.F.R. § 9033.12(b). Upon request, documentation explaining the computer system's software capabilities shall be provided, and such personnel as are necessary to explain the operation of the computer system's software and the computerized information prepared or maintained by the committee(s) shall be made available.
- VIII. As prescribed at 11 C.F.R. § 9033.1 (b)(6), I and my authorized committee(s) will obtain and furnish to the Commission upon request all documentation relating to funds received and disbursements made on my behalf by other political committees and organizations associated with me.
- IX. In accordance with 26 U.S.C. § 9038 and 11 C.F.R. § 9038 and 11 C.F.R. § 9033.1(b)(7), I and my authorized committee(s) shall permit an audit and examination pursuant to 11 C.F.R. Part 9038 of all receipts and disbursements, including those made by me, all authorized committee(s) and any agent or person authorized to make expenditures on my behalf or on behalf of my authorized committee(s). I and my authorized committee(s) shall also provide any material required in connection with an audit, investigation or examination conducted pursuant to 11 C.F.R. Part 9039. I and my authorized committee(s) shall facilitate the audit by making available in one central location, office space, records and such personnel as are necessary to conduct the audit and examination, and shall pay any amounts required to be repaid under 11 C.F.R. Parts 9038 and 9039.

- X. Pursuant to 11 C.F.R. § 9033.1(b)(8), the person listed below is entitled to receive matching fund payments on my behalf which will be deposited into the listed depository which I have designated as the campaign depository. Any change in the information required by this paragraph shall not be effective until submitted to the Commission in a letter signed by me or the Treasurer of my authorized principal campaign committee.

Name of person: Leslie Steinberg

Mailing address: P.O. Box 1228
Burlington, VT 05402

Designated depository: BankNorth of Vermont

Address: 111 Main Street
Burlington, VT 05401

- XI. Pursuant to 11 C.F.R. § 9033.1(b)(9), (10) and (11), I and my authorized committee(s) will: (A) prepare matching fund submissions in accordance with the Federal Election Commission's Guideline for Presentation in Good Order, including the provision of any magnetic media pertaining to the matching fund submissions and which conforms to the requirements specified at 11 C.F.R. § 9033.12; (B) comply with the applicable requirements of 2 U.S.C. § 431 et seq.; 26 U.S.C. § 9031 et seq., and the Commission's regulations at 11 C.F.R. §§ 100-116, 300 and 11 C.F.R. §§ 9031-9039; and (C) pay civil penalties included in a conciliation agreement or otherwise imposed under 2 U.S.C. § 437g against myself, any of my authorized committees(s) or any agent thereof.
- XII. Pursuant to 11 C.F.R. § 9033.1(b)(12), any television commercial prepared or distributed by me or my authorized committee(s) will be prepared in a manner which ensures that the commercial contains or is accompanied by closed captioning of the oral content of the commercial to be broadcast in line 21 of the vertical blanking interval, or is capable of being viewed by deaf and hearing impaired individuals via any comparable successor technology to line 21 of the vertical blanking interval.

XIII. Pursuant to 11 C.F.R. 9033.1(b)(13), I and my authorized committee(s) will file all reports with the Commission in an electronic format that meets the requirements of 11 C.F.R. § 104.18 if I or my authorized committee(s) maintain or use computerized information containing any of the information described in 11 C.F.R. § 104.3.

Signed:

A handwritten signature in cursive script, appearing to read "H. Dean", written over a horizontal line.

Howard Dean

State Alpha List Summary for Threshold Submission

Dean For America

<i>STATE</i>	<i>Amount</i>	<i>Number</i>
<i>California</i>	<i>\$11,750.00</i>	<i>47</i>
<i>Colorado</i>	<i>\$8,500.00</i>	<i>55</i>
<i>Connecticut</i>	<i>\$6,950.27</i>	<i>41</i>
<i>District of Columbia</i>	<i>\$8,000.00</i>	<i>45</i>
<i>Florida</i>	<i>\$9,950.00</i>	<i>55</i>
<i>Georgia</i>	<i>\$8,774.26</i>	<i>51</i>
<i>Illinois</i>	<i>\$7,250.00</i>	<i>51</i>
<i>Maine</i>	<i>\$8,450.00</i>	<i>57</i>
<i>Maryland</i>	<i>\$7,150.00</i>	<i>38</i>
<i>Massachusetts</i>	<i>\$12,000.00</i>	<i>48</i>
<i>Michigan</i>	<i>\$6,550.26</i>	<i>43</i>
<i>Minnesota</i>	<i>\$6,350.00</i>	<i>46</i>
<i>New Hampshire</i>	<i>\$6,650.00</i>	<i>42</i>
<i>New Jersey</i>	<i>\$12,700.26</i>	<i>62</i>
<i>New York</i>	<i>\$8,250.00</i>	<i>33</i>
<i>Ohio</i>	<i>\$6,050.00</i>	<i>40</i>
<i>Pennsylvania</i>	<i>\$10,800.26</i>	<i>52</i>
<i>Rhode Island</i>	<i>\$7,375.00</i>	<i>36</i>
<i>South Carolina</i>	<i>\$10,800.00</i>	<i>62</i>
<i>Texas</i>	<i>\$6,725.26</i>	<i>46</i>
<i>Vermont</i>	<i>\$10,250.00</i>	<i>41</i>
<i>Virginia</i>	<i>\$11,000.01</i>	<i>57</i>
<i>Washington</i>	<i>\$6,399.27</i>	<i>50</i>
<i>Grand Total</i>	<i>\$198,674.85</i>	<i>1098</i>

The period Covered by the submission is 1/10/2003 to 5/19/2003